# Improving the photocatalytic properties of anatase TiO<sub>2</sub> (101) surface by co-doping with Cu and N: Ab initio study

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#### Abstract

Substitutionally and interstitially Cu/N co-doped anatase TiO<sub>2</sub> (101) surface is investigated by using density functional theory (DFT) calculations. The results suggest improved visible light photocatalytic activity over undoped anatase TiO<sub>2</sub>. Sizable lattice relaxation around the dopants is observed, followed by a formation of N-O bond. Depending on the local arrangement of atoms, localized states above the valence band maximum, deep into the band gap, and below the conduction band minimum are found. In addition, our calculation also predict band gap narrowing. The hybridization of the Cu 3d and N 2p states within the band gap and the other electronic and optical properties suggest a synergistic effect of the dopants in the enhancement of the visible light absorption on the (101) anatase surface.

#### <sup>1</sup> Introduction

<sup>2</sup> Because of its low cost, non-toxicity, and great stability under irradiation,  $TiO_2$  is a promis-<sup>3</sup> ing material for photocatalytic water splitting and degradation of environmentally harmful <sup>4</sup> pollution.<sup>1,2</sup> While highly efficient under ultraviolet irradiation, it is not active in the visible
<sup>5</sup> light region. The band gaps of the rutile and anatase polymorphs of TiO<sub>2</sub> are 3.3 and 3.2
<sup>6</sup> eV, respectively,<sup>3,4</sup> which is too large for absorption of visible photons. In order to be able
<sup>7</sup> to improve the photocatalytic activity of TiO<sub>2</sub> under visible light irradiation, it is therefore
<sup>8</sup> necessary to shift the absorption edge toward longer wavelengths.

One of the methods of modifying the properties of semiconducting materials is introducing 9 suitable impurities. Modification of the surface of  $TiO_2$  by foreign atom doping is a promising 10 approach in improving the photocatalytic performance. The other line of research is syn-11 thesizing efficient nanocomposite materials.<sup>5</sup> Dopant atoms in TiO<sub>2</sub> can cause band gap 12 narrowing, which is beneficial for enhancing the photocatalytic reactions efficiency of this 13 material. Typically, transition metals like Cr, Mn, Fe, Ag, V, and Cu<sup>6-14</sup> and non-metals like 14 C, N, S, Si, and F<sup>15-20</sup> are used. Additional limiting factor for photocatalytic applications 15 is the relatively short life of the excited electron-hole pairs in  $TiO_2$ . Impurity atoms can 16 also create new defect complexes and introduce deep defect levels that may act as trapping 17 centers, favoring charge separation.<sup>21,22</sup> 18

<sup>19</sup> Recent research studies suggest that co-doping by non-metals in combination with tran-<sup>20</sup> sition metals has a strong synergistic effect in enhancing the visible light photocatalytic <sup>21</sup> activity. For example, DFT calculations confirm the redshift of the optical absorption edge <sup>22</sup> in Fe/Si co-doped TiO<sub>2</sub> anatase.<sup>9</sup> Another DFT study of W and N codoped TiO<sub>2</sub><sup>23</sup> also <sup>23</sup> confirmed significant narrowing of the band gap of both anatase and rutile.

Nitrogen doping of  $TiO_2$  is a known strategy to produce material responsive in the visible region.<sup>24,25</sup> Doping with N and other anions (C,S) can be used to model the shape of the density of states at the top of the valence band. In N-monodoped bulk anatase, the N 2p states are found above the valence band maximum.<sup>11,26</sup>

<sup>28</sup> Copper is one of the transition metals impurities used for doping of  $TiO_2$  anatase because <sup>29</sup> it has the ability to improve the absorption in the visible light region.<sup>27</sup> The incorporation <sup>30</sup> of copper into  $TiO_2$  anatase leads to appearance of Cu 3*d* states near the top of the valence <sup>31</sup> band, while substitutional doping of Cu is also predicted to shift the optical absorption
<sup>32</sup> spectra to the long wavelength region. <sup>10</sup> In Cu/S co-doped bulk TiO<sub>2</sub> anatase, the impurity
<sup>33</sup> energy levels are found to be predominantly of Cu 3d and S 3p types. <sup>28</sup>

The characterization of Cu-doped anatase nanoparticles<sup>12</sup> has shown band gap narrowing in the doped nanostructures and increased photocatalytic activity for the system with 0.05 at. % of Cu. Increased photocatalytic activity in the visible spectrum was also observed in Cu(II)-grafted TiO<sub>2</sub>.<sup>14</sup> A recent DFT study of substitutional copper dopants on the (101) anatase surface has predicted the appearance of inter-bandgap defect states, which are considered responsible for the improved visible-light photoactivity in anatase.<sup>29</sup>

The ability of copper to form clusters on top of the (101) surface of anatase has been investigated in a recent DFT study.<sup>13</sup> The authors found that Cu plays a role in the separation of the photogenerated electrons and holes, and should enhance the photo-response of TiO<sub>2</sub>.

Enhanced absorption in the visible light region and a red shift in the band gap transition in a N/Cu co-doped TiO<sub>2</sub> nanoparticles have been reported experimentally.<sup>30–34</sup> In the Cu/N codoped anatase thin films hindered excitation recombination was detected.<sup>35</sup> Cu, N, and Cu/N doping of bulk anatase<sup>36</sup> was also studied by using DFT. The bulk calculations have shown that the photocatalytic activity of the co-doped system is higher than the corresponding undoped or mono-doped systems.

In this work we investigate the impact of copper and nitrogen co-doping on the structural, 50 electronic, and optical properties of (101) anatase surface. Anatase was chosen as the more 51 efficient form of  $TiO_2$  for photocatalytic applications.<sup>37</sup> The (101) surface of anatase is 52 predicted to be the most stable<sup>38</sup> and as such represents the largest portion of the exposed 53 surface area in nanostructures. To the best of our knowledge this is the first ab-initio study 54 to consider anatase surface co-doping with these two important elements. We calculate the 55 defect formation energy of several characteristic substitutional and interstitial configurations 56 of Cu and N. We focus on the defect complexes that appear in the topmost layers of the (101) 57

<sup>58</sup> surface of TiO<sub>2</sub> anatase. We show that the incorporation of Cu and N into TiO<sub>2</sub> anatase can <sup>59</sup> increase the photocatalytic activity in the visible light region regardless of the actual local <sup>60</sup> arrangement of dopant atoms. The energetically most favorable defect configuration in this <sup>61</sup> system is found to be an interstitial nitrogen or nitrogen adsorbed to the surface close to an <sup>62</sup> oxygen atom in combination with a substitutional copper.

# **DFT Calculations**

<sup>64</sup> Our calculations are based on the density functional theory (DFT) implemented in the grid-<sup>65</sup> based projector-augmented wave program GPAW.<sup>39–42</sup> To describe the electronic exchange <sup>66</sup> and correlation effects we used the PBE functional.<sup>43</sup> Approaches that employ semi-empirical <sup>67</sup> corrections like the DFT+U corrections<sup>44</sup> give values of the band gaps much closer to the <sup>68</sup> experimental result, but sometimes at the expense of structural properties.<sup>45</sup> The energy <sup>69</sup> cut-off in our calculations was set to 350 eV. For the calculations of the bulk unit cell of <sup>70</sup> TiO<sub>2</sub> anatase, we used a MonkhorstPack grid of  $21 \times 21 \times 9 k$ -points.

TiO<sub>2</sub> anatase crystallizes in a tetragonal body centered unit cell (space group  $I4_1/amd$ ). The primitive unit cell of anatase contains 4 Ti and 8 O atoms. They are at Wyckoff positions 4a (0, 0, 0) for Ti and 8e (0, 0, 0.208) for O.

The experimental lattice parameters of  $TiO_2$  anatase, as determined with neutron diffraction at 15K, are a=3.782 and c=9.502 Å,<sup>46</sup> while the *u* parameter related to the z coordinate of the oxygen atom is 0.208. Our calculated lattice parameters of a=3.743 Å, c=9.456 Å, and u=0.208 are all within 1 % of their corresponding experimental values.

The (101) surface of TiO<sub>2</sub> anatase is known to have the lowest surface energy.<sup>38,47</sup> To test this for our theoretical approach, we have calculated the surface energy of three low-energy facets of TiO<sub>2</sub> anatase: (100), (001), and (101). The surface energy of the (101) facet of TiO<sub>2</sub> anatase after atomic relaxation was found to be 0.59 J/m<sup>2</sup>. The surface energy of the second most stable (100) facet was 0.87 J/m<sup>2</sup>, while the relaxed (001) surface was calculated to have a surface energy of  $1.11 \text{ J/m}^2$ . The values of the calculated surface energies and their

relative order agree well with the previous calcualtions.<sup>48,49</sup>

<sup>85</sup> Given the above results, we have constructed our surface unit cell to be with 101 termi-<sup>86</sup> nation. We modeled this system by using a  $3 \times 1$  rectangular surface cell with parameters <sup>87</sup> obtained from the optimized bulk geometry(10.17 × 11.23 Å). A slab of 108 atoms was <sup>88</sup> periodically repeated in x and y direction and a vacuum space of 10 Å thickness was added <sup>89</sup> along the surface normal (Fig. 1). In this geometry, the outermost oxygen atom is two-fold <sup>90</sup> coordinated. The next layer consists of 5-fold coordinated Ti atoms (Ti<sup>5</sup>), followed by a <sup>91</sup> 3-fold coordinated O atoms, and a 6-fold coordinated Ti atoms (Ti<sup>6</sup>).

<sup>92</sup> Given the size of the supercell, for all slab calculations we used only the  $\Gamma$  point, with a <sup>93</sup> grid spacing of 0.2 Å.

Keeping the atoms in bottom-most  $TiO_2$  layer in the undoped system fixed (a total of 12 Ti and 24 O atoms), we relaxed the positions of the remaining atoms in the supercell. We then introduced the dopant atoms and further relaxed the supercell.

The total energy in our calculations was converged to  $10^{-5}$ eV/atom, whereas the criterion for force minimization was 0.03 eV/Å. The substitutional Cu/N co-doping was modeled by using one pair of host atoms replaced, which is situations corresponding to a concentration of ~1.85 at. % of impurity atoms.

#### <sup>101</sup> Defect Configurations and Formation Energy

We considered a variety of Cu and N surface and sub-surface positions, including co-doping on regular lattice sites and interstitial positions. In particular, we calculated the following configurations: (i)  $Cu_{Ti^5}$ -N<sub>O</sub>: Cu substituting a 5-fold coordinated top layer Ti atom with N substituting on various O lattice sites, (ii)  $Cu_{Ti^6}$ -N<sub>O</sub>: Cu substituting a 6-fold coordinated sub-surface Ti atom with a nitrogen atom substituting on O lattice sites, and (iii)  $Cu_{Ti}$ -N<sub>i</sub>: N in the interstitial position with Cu substituting on Ti lattice sites in various layers.



Figure 1: Atomic structures of  $TiO_2$  anatase (101) surface after codoping with Cu and N substitutional atoms.

We also tried several antisite configurations (Cu substituting on O and N on Ti lattice site, or Cu interstitial and N substituting on Ti lattice site). After noticeable rearrangement of the dopants, most of these configurations transposed into one of the three former cases. The only exception was the configuration  $Cu_{Ti}-N_{ad}$  presented in Fig. 2, where the N dopant ended up on top of the slab. Incidentally, this was found to be the lowest formation energy structure among the investigated geometries.

The stability of the co-doped systems was estimated using the defect formation energy,  $E_{\rm f}$ . For the cases (i) and (ii),  $E_{\rm f}$  is defined as:

$$E_{\rm f} = E_{\rm d} - E_{\rm p} - \frac{1}{2}\mu_{\rm N_2} - \mu_{\rm Cu} + \frac{1}{2}\mu_{\rm O_2} + \mu_{\rm Ti},\tag{1}$$

where  $E_d$  is the DFT total energy of the doped supercell,  $E_p$  is the energy of the supercell without impurities,  $\mu_{Cu}$  and  $\mu_{Ti}$  are the total energies of the bulk Cu and Ti metals per atom, and  $\mu_{N_2}$  and  $\mu_{O_2}$  are the DFT total energies of nitrogen and oxygen molecules.

<sup>119</sup> For the case (iii), we used this slightly modified expression:

$$E_{\rm f} = E_{\rm d} - E_{\rm p} - \frac{1}{2}\mu_{\rm N_2} - \mu_{\rm Cu} + \mu_{\rm Ti}.$$
 (2)

Figure 1 depicts several typical substitutional configurations obtained after ionic relaxation:  $Cu_{Ti^5}-N_O(1)$ ,  $Cu_{Ti^5}-N_O(2)$ , and  $Cu_{Ti^5}-N_O(3)$  with Cu replacing a five-fold coordinated Ti atom and  $Cu_{Ti^6}-N_O$  where Cu substitutes a six-fold coordinated Ti atom.

<sup>123</sup>  $Cu_{Ti^5}$ -N<sub>O</sub>(1) is the least distorted configuration with respect to the slab's equilibrium <sup>124</sup> geometry. At the same time, its formation energy (see Table 1) is the highest among the <sup>125</sup> structures presented here. In the other cases, the substitutional N is subject to a sizable <sup>126</sup> lattice relaxation. As a result, a short bond (1.31 - 1.35 Å) with a neigboring oxygen atom <sup>127</sup> is built. By comparison, the shortest O-O bond length in pure TiO<sub>2</sub> anatase is 2.47 Å. At <sup>128</sup> the same time, Cu is displaced from the original Ti lattice site position. This local lattice <sup>129</sup> deformation seems to favor the stabilization of the defects by lowering the energy of the 130 system.



Figure 2: Lowest formation energy configurations of  $TiO_2$  anatase (101) surface codoped with Cu and N.

The structures with the lowest and second lowest formation energy,  $Cu_{Ti}-N_{ad}$  and  $Cu_{Ti}-N_{i}$ N<sub>i</sub>, respectively, are shown in Fig. 2.  $Cu_{Ti}-N_{i}$  is obtained starting from an interstitial N and substitutional Cu configuration. The structure  $Cu_{Ti}-N_{ad}$  is the end result of geometry optimization of antisite (N on Ti) and interstitial Cu initial configuration. Again we see the same motif, a short N-O bond accompanied with a more or less displaced  $Cu_{Ti}$ .

Here, the attraction between N and O is even stronger, and the N-O distance is shorter (1.21 Å for  $Cu_{Ti}-N_{ad}$  and 1.24 Å for  $Cu_{Ti}-N_i$ ). These values are not far from the molecular nitric oxide equilibrium bond length of 1.15 Å.<sup>50</sup> Except for  $Cu_{Ti^5}-N_O(1)$ , in all the other atomic structures this strong attraction of N toward a neighboring O appears to be a characteristic pattern.

#### 141 Electronic Structure

Further insight into the electronic structure of the Cu/N co-doped anatase (101) surface can be obtained if we consider the total density of states (DOS) and partial density of states (PDOS) (Fig. 3 and Fig. 4, respectively). Within the PBE formalism of the DFT

	$E_{f}(eV)$	$d_{N-Cu}(A)$	$d_{N-Ti}(A)$	$d_{N-O}(A)$	$d_{Cu-O}(A)$
$Cu_{Ti^5}$ -N <sub>O</sub> (1)	13.4	1.76	2.16	2.44 2.81 2.88	1.93 1.94 2.14
Cu <sub>Ti<sup>6</sup></sub> -N <sub>O</sub>	12.2	4.03	2.10 2.14 2.39	1.35 2.59 2.81 2.85	1.93 2.03 2.22
$Cu_{Ti^5}$ -N <sub>O</sub> (2)	12.0	2.75	2.19 2.26	1.31 2.79 2.72	2.03 2.10 2.12
$Cu_{Ti^5}-N_O(3)$	11.4	1.85	2.06	$1.31 \ 2.71 \ 2.72$	1.93 208 2.09
Cu <sub>Ti</sub> -N <sub>i</sub>	10.4	2.03	2.73	1.24 1.85 2.66 2.84	1.80 1.85 2.81 2.84
Cu <sub>Ti</sub> -N <sub>ad</sub>	8.9	1.92	3.46	1.21 1.94	1.93 2.18 2.72 2.81

Table 1: Defect formation energy  $(E_f)$  and bond lengths (d) for different relaxed configurations of TiO<sub>2</sub> anatase surface codoped with Cu and N.

<sup>145</sup> implemented here, the band gaps of bulk anatase and anatase 101 surface are 2.05 eV <sup>146</sup> and 1.89 eV, respectively. The result is consistent with previous calculations based on <sup>147</sup> this approximation<sup>51,52</sup> and is a consequence of the shortcomings of the DFT to accurately <sup>148</sup> describe the localized Ti 3d states. If compared to the surface gap, the calculated bulk band <sup>149</sup> gap is smaller, which is expected as a result of additional gap states due to dangling bonds <sup>150</sup> on the anatase surface.<sup>53</sup>

All Cu/N co-doped atomic systems exhibit band gaps smaller than the pure surface. This narrowing of the band gap can lead to shift of the optical absorption toward the visible light spectrum, which is indeed confirmed by our calculations of the absorption spectra (see below).

The DOS shows the appearance of small peaks at and above the top of the valence band 155 (VB), within the band gap, and close to the bottom of the conduction band (CB). These 156 peaks, which are not present in the pure, undoped surface, are a direct consequence of Cu 157 and N impurity states. The main contribution of a mono-doped substitutional Cu to the 158 DOS comes from its 3d states, which are positioned at the VB maximum and deeper in the 159 band gap. Similarly for mono-doped substitutional and interstitial N, the main contributions 160 come from the N 2p states positioned at the top and slightly above the VB. Because of this, 161 nitrogen doping of  $TiO_2$  anatase has already been proven to be a great method for narrowing 162 of the band-gap and improving the photocatalytic activity by both x-ray photoemission 163 spectroscopy and first-principles calculations.<sup>11,24</sup> 164



Figure 3: Total density of states for the pure and codoped 108-atom  $TiO_2$  surface. The Fermi energy, depicted as dotted line, is taken as a reference level.



Figure 4: Cu and N partial density of states in a co-doped 108-atom  $TiO_2$  surface. The Fermi energy, depicted as dotted line, is taken as a reference level.

Moreover, the simultaneous doping with both Cu and N seems to enhance the complexity 165 in the band gap by altering the defect levels and via hybridization. Depending on the defect 166 system, this can be seen in the PDOS of the co-doped anatase (101) surface presented in 167 Fig. 4. For example, the configurations  $Cu_{Ti^5}$ -N<sub>O</sub> (3),  $Cu_{Ti}$ -N<sub>ad</sub> and  $Cu_{Ti}$ -N<sub>i</sub> have their N 168 2p defect levels and to some degree their Cu 3d levels pushed deeper into the band gap and 169 closer to the top of the CB. Furthermore, these three lowest formation energy defects exibit 170 a noticeable hybridization between the Cu 3d and N 2p states, as can be seen by the varying 171 degree of overlap between the Cu and N states. We also see that the higher the defect lies 172 in the band gap, the smaller the contribution of the Cu d states and more dominant the 173 contribution of the N p states. 174

To evaluate the suitability of the Cu-N codoped anatase as visible light photocatalyst and to explain its experimentally observed enhanced photocatalytic activity,<sup>34</sup> we will make an attempt to correlate our obtained results for electronic structure with the electronic structure that an efficient photocatalyst is supposed to have. First and foremost, for the efficient use of TiO<sub>2</sub> for photocatalytic water splitting, it is necessary to find a dopant pair which narrows the band gap in order to shift the absorption edge to the visible light region, but at the same time does not lower the conduction band minimum, as the reduction potential level of water is just below the CBM of  $TiO_2$ .<sup>54</sup>

The narrowing of the band gap for almost all the investigated Cu/N codoped configu-183 rations (the only exception is energetically unfavored  $Cu_{Ti^5}-N_O(3)$ ) due to the rise of VB 184 maximum and the formation of intermediate states, while leaving the conduction band al-185 most unchanged, qualify this system to be an efficient material for the photocatalytic water 186 splitting. Besides, in order to avoid the creation of electron-hole recombination centers, 187 which reduce the lifetime of the photo-induced charge carriers and thus lead to a decrease of 188 photocatalytic activity, ideal photocatalyst should have band gap with no additional states 189 inside.<sup>55,56</sup> Namely, the creation of the impurity states at the mid-band energy level (EMB), 190 defined as half of the energy difference of the top energy level of the VB and the bottom en-191 ergy level of the CB, will maximize the recombination rate of the electron-hole pairs, causing 192 minimal photocatalytic efficiency. 193

In the cases studied here, for the energetically preferential doping structures ( $Cu_{Ti}-N_{ad}$ ) 194 and  $Cu_{Ti}-N_i$ ) the impurity states created inside the band gap are located in the lower and 195 top third of the band gap. Hence the life time of the charge carriers is reduced, but does 196 not reach its minimum as in the case of impurity states at EMB. In total, Cu/N codoping 197 of  $TiO_2$  anatase (101) surface, causes a redshift in the absorption and introduces band 198 gap states that do not cause the recombination rate to maximize, and therefore conserve 199 a reasonable photocatalytic efficiency, which makes it a suitable material for visible light 200 photocatalyst. The obtained results are in line with the experimental ones, which evidenced 201 that Cu/N codoping caused an increase of both the mean lifetime and trapping rate of 202 defects at the nanocolumn surface in the anatase network,<sup>35</sup> along with the enhancement of 203 the photocatalytic efficiency.<sup>34</sup> 204

# 205 Optical Absorption

We used linear response calculations<sup>41</sup> within the adiabatic local density approximation to determine the optical absorption of our systems. The absorption spectra were calculated using the time-dependent density functional theory (TDDFT)<sup>57</sup> from the density response function. More details about the calculation can be found in Ref.<sup>58</sup>

The coefficient of optical absorption as a function of wavelength of the pure and Cu/N co-210 doped anatase (101) surface is presented in Fig. 5. We have calculated two sets of spectra, 211 for light polarized in the x direction (perpendicular to the surface normal) and for light 212 polarized along the z direction (parallel to the surface normal). For all Cu/N local atomic 213 arrangements considered here, there is an improvement of the optical absorption in the visible 214 region as compared to the pure  $TiO_2$  anatase surface, although the main absorption peaks 215 still occur in the UV range. The only exception can be seen for the defect complex between 216 a substitutional Cu and interstitial N,  $Cu_{Ti}$ -N<sub>i</sub>, which, in the region up to 500 nm, exhibits 217 a weaker absorption for light photons polarized in z-direction than the undoped anatase 218 surface. However, this particular local configuration has the largest absorption coefficient 219 for light polarized in x-direction in the entire visible light region. When the light polarized 220 in z-direction is concerned,  $Cu_{Ti^5}$ -N<sub>O</sub> (2) and  $Cu_{Ti}$ -N<sub>ad</sub> give the best visible light absorption 221 and the longest extension of the absorption tail into the visible light region among the 222 investigated configurations. 223

As far as the optical absorption in the UV region is conserned, our calculations indicate that it is only improved for light polarized perpendicularly to the surface. For light polarization in x-direction we observe a decline in the absorption coefficient below 350 nm.

# 227 Conclusion

We have calculated the local atomic structure, defect formation energy, DOS, and optical absorption coefficient of Cu and N impurities in the topmost layers of the anatase (101)



Figure 5: Polarization dependent optical absorption spectra of pure and Cu/N co-doped anatase (101) surface. The insets depict the visible light region.

surface. Based on their formation energy, the two most stable atomic arrangements are (i) 230 interstitial nitrogen and (ii) nitrogen adsorbed to the surface, both in combination with a 231 substitutional copper. Most of the local atomic arrangements are a result of sizable lattice 232 relaxation after the introduction of the dopants. Another notable characteristic of this 233 system is the strong tendency of N toward forming a relatively short N-O bond length 234 with a neighboring O atom. Cu and N contribute to significant changes in the DOS of 235 the anatase (101) surface, including the appearance of isolated states in the band gap. 236 These states are found to be hybridized and, depending on the position in the band gap, 237 have substantial Cu 3d and N 2p character. Our calculation confirm the experimentally 238 determined enhancement of the visible light absorption of Cu/N co-doped TiO<sub>2</sub> anatase. 239 All surface defect configurations considered in our work should be more or less effective for 240 improving the visible light absorption, which could be important for photocatalytic water 241 splitting and environmental applications of this material. 242

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